



06-16-04

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PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

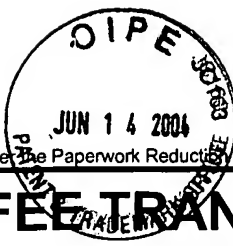
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/633,109	
		Filing Date	August 1, 2003	
		First Named Inventor	KASK, KALEV	
		Group Art Unit	1645	
		Examiner Name		
Total Number of Pages in This Submission		14	Attorney Docket Number	AGYT-017CIP2
ENCLOSURES (check all that apply)				
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Request to Add Inventor 2. Statement by Inventor 3. Supplemental Application Data Sheet 4. Consent of Assignee 5. Statement under 37 CFR 3.73(b) 6. Declaration 7. Copy of Assignment 8. Postcard	Remarks	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Signing Attorney/Agent (Reg. No.)	PAMELA J. SHERWOOD, 36.677 BOZICEVIC, FIELD & FRANCIS LLP			
Signature				
Date	June 14, 2004			

EXPRESS MAIL LABEL NO. EV333997410US

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL
for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$)**130****Complete if Known**

Application Number	10/633,109
Filing Date	August 1, 2003
First Named Inventor	KASK, KALEV
Examiner Name	
Art Unit	1645
Attorney Docket No.	AGYT-017CIP2

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money ☐ Other ☐ None
Order☒ Deposit Account:Deposit
Account
Number
Deposit
Account
Name

50-0815

Bozicevic, Field & Francis LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge Any Additional Fee(s) Required under 37 C.F.R. 1.17.
☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee Description
1001 770	2001 385	Utility filing fee
1002 340	2002 170	Design filing fee
1003 530	2003 265	Plant filing fee
1004 770	2004 385	Reissue filing fee
1005 160	2005 80	Provisional filing fee

SUBTOTAL (1)**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	x	=
Indep. Claims	-3** =	x	=
Multiple Dependent			=

Large Entity Fee Code	Small Entity Fee Code	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$

**or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Small Entity Fee Code	Fee Description
1051 130	2051 65	Surcharge - late filing fee or oath
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet
1053 130	1053 130	Non-English specification
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination
1804 920*	1804 920*	Requesting publication of SIR prior to Examination action
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action
1251 110	2251 55	Extension for reply within first month
1252 420	2252 210	Extension for reply within second month
1253 950	2253 475	Extension for reply within third month
1254 1,480	2254 740	Extension for reply within fourth month
1255 2,010	2255 1,005	Extension for reply within fifth month
1401 330	2401 165	Notice of Appeal
1402 330	2402 165	Filing a brief in support of an appeal
1403 290	2403 145	Request for oral hearing
1451 1,510	1451 1,510	Petition to institute a public use proceeding
1452 110	2452 55	Petition to revive - unavoidable
1453 1,330	2453 665	Petition to revive - unintentional
1501 1,330	2501 665	Utility issue fee (or reissue)
1502 480	2502 240	Design issue fee
1503 640	2503 320	Plant issue fee
1406 130	1460 130	Petitions to the Commissioner
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)
1806 180	1806 180	Submission of Information Disclosure Stmt
8021 40	8021 40	Recording each patent assignment per property (times number of properties)
1809 770	2809 385	Filing a submission after final rejection (37 CFR § 1.129(a))
1810 770	2810 385	For each additional invention to be examined (37 CFR § 1.129(b))
1801 770	2801 385	Request for Continued Examination (RCE)
1802 900	1802 900	Request for expedited examination of a design application

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

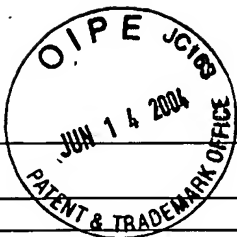
SUBTOTAL (3) (\$)**130****SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Pamela J. Sherwood	Registration No. (Attorney/Agent)	36,677	Telephone	(650) 833-7790
Signature	<i>Pamela J. Sherwood</i>	Date	08/14/2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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EXPRESS MAIL NUMBER: **EV333997410US**

**REQUEST TO ADD
INVENTOR
37 CFR 1.48(d)**

Address to:
Commissioner for Patents
Washington, D.C. 20231

Customer Number	24353
Application Number	10/633,109
Confirmation Number	3629
Filing Date	August 1, 2003
First Named Inventor	Kask et al.
Examiner Name	
Group Art Unit	1647
Attorney Docket	AGYT-017CIP2

Sir:

Due to an error occurring without deceptive intention it is requested that the inventor, Roman Urfer, be added to the inventorship in connection with the above-identified application. Transmitted herewith is a Supplemental Application Data Sheet, Statement by Inventor, Consent of Assignee, Statement Under 37 C.F.R. 3.73 (b), and Declaration.

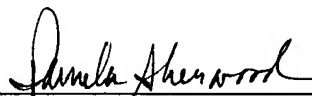
The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815, order number STAN-317PRV.

06/18/2004 HVUONG1 00000005 500815 10633109

01 FC:1460 130.00 DA

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date: June 14, 2004

By: 
Pamela J. Sherwood
Registration No. 36,677

BOZICEVIC, FIELD & FRANCIS LLP
200 Middlefield Road, Suite 200
Menlo Park, California 94025
Telephone: (650) 327-3400
Facsimile: (650) 327-3231



EXPRESS MAIL LABEL NO. EV333997410 US

STATEMENT BY INVENTOR Address to: Commissioner for Patents Washington, D.C. 20231	Attorney Docket	AGYT-017CIP2
	First Named Inventor	KASK et al.
	Application Number	10/633,109
	Confirmation Number	3629
	Filing Date	August 1, 2003
	Group Art Unit	1645
	Examiner Name	
	Title: INTERACTION OF NMDA RECEPTOR WITH PROTEIN TYROSINE PHOSPHATASE	

1. I, Roman Urfer, am a co-inventor of the invention set forth in the claims of the above-referenced application.
2. I was erroneously omitted as an inventor in the above-referenced application. The inventorship error occurred without any deceptive intention on my part.
3. I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: 03-15-04

By: 

Roman Urfer

EXPRESS MAIL LABEL NUMBER: **EV333997410US**

Consent of Assignee to Change Inventorship in Application under 37 CFR § 1.497(d) Address to: Assistant Commissioner for Patents Washington, D.C. 20231	Attorney Docket	AGYT-017CIP2
	First Named Inventor	KASK et al.
	Application Number	10/633,109
	Confirmation Number	3629
	Filing Date	August 1, 2003
	Group Art Unit	1645
	Examiner Name	
	Title: INTERACTION OF NMDA RECEPTOR WITH PROTEIN TYROSINE PHOSPHATASE	

AGY Therapeutics, Inc. is the Assignee of the entire right, title and interest in the above referenced application by virtue of an assignment from the original inventors to AGY Therapeutics, Inc., recorded on December 4, 2003, at Reel 014174, Frame 0585.

AGY Therapeutics, Inc. hereby agrees to the change on inventorship in the above-referenced application to add Roman Urfer as an inventor in the above referenced application as requested in the accompanying petition.

The undersigned, whose title is indicated below, is empowered to execute this statement on behalf of the assignee.

Date: 03/15/04Name: CYNTHIA LADDTitle: PRESIDENT & C.E.O.Signature: Cynthia Ladd